

State of Maine
Recovery Plan

**State and Local Fiscal Recovery
Funds**

May 19, 2021 to July 31, 2021 Report

State of Maine
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GENERAL OVERVIEW

Executive Summary

The State of Maine received the first tranche totaling \$498,747,565.05 of State and Local Fiscal Recovery Fund (SLFRF) identified for the State on May 19, 2021. Public Law 2021, chapter 1, Part BB enacted on March 17, 2021 required that the Legislature must approve proposed expenditures utilizing discretionary funds received by the State from the Federal Government due to the COVID-19 pandemic.

On May 4, 2021, the Governor released The Maine Jobs & Recovery Plan outlining the Administration's priorities for the State portion of the SLFRF.

The Mills Administration's roadmap for these discretionary funds is designed to complement, not duplicate, funding already committed by Congress to other recovery efforts. These funds are entrusted to us to use both wisely and comprehensively. The Plan draws from recommendations from the Governor's Economic Recovery Committee and Maine's 10-Year Economic Development Strategy, which heard input from thousands of Maine people, and relied on the expertise of Maine's business, economic, education, nonprofit and community leaders, to develop detailed, consensus priorities for Maine's economic recovery and future. The Plan aims to heal our state from the unprecedented economic disruption of the COVID-19 pandemic, which has created a disparate "K-shaped" recovery in which those most vulnerable to the disruption of the pandemic remain in dire need of economic supports for themselves, and for their families.

On May 25, 2021, the Governor signed PL 2021, chapter 78, An Act To Provide Allocations for the Administration of State Fiscal Recovery Funds, allocating \$4.6 million for initial administrative and planning costs associated with deploying the over \$1 billion in SLFRF funding anticipated to flow through the State.

On July 19, 2021, the Governor signed PL 2021, chapter 483, An Act to Provide Allocations for the Distribution of State Fiscal Recovery Funds representing the majority vote of the legislature, and their approval, of the planned uses of the SLFRF. This piece of legislation takes effect 90 days after adjournment of the Legislature; funding will be available on October 18, 2021. Departments and agencies allocated funding through the Legislative process are developing business cases outlining the planned use of funds and metrics/KPIs. Those business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed.

Uses of Funds

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business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed.

Also included in the State and Local Fiscal Recovery Funds are funds that are earmarked as pass through funds for Non-Entitlement Units. In Maine, there were six larger municipalities that received funding directly from the Federal Government. The remainder of the State's towns and cities are eligible to apply for their share of this funding for uses consistent with US Treasury guidance.

The State of Maine is receiving other Federal Assistance tied to the American Rescue Plan in addition to the State and Local Fiscal Recovery Funds. Maine's Department of Health and Human Services has been awarded increased funding for the Mental Health Block Grant as well as the Substance Abuse Treatment and Prevention Block Grant to help address the COVID-19 pandemic's behavioral health impacts. American Rescue Plan funding has also been allocated to Maine's Office of Child and Family Services through the Child Care Development Block Grant to address childcare issues that have been created or exacerbated by the COVID-19 pandemic. There is also American Rescue Plan funding allocated to the Homeowner Assistance fund to address some of the financial hardships that homeowners are facing due to the COVID-19 pandemic, including mortgage delinquencies and defaults, foreclosures, and utility fees. Other programs that are administered by various State departments are receiving enhanced funding through the American Rescue Plan. This includes funding for Public Health, Health Care and Human Services through programs such as SNAP, WIC, and Emergency TANF. There is also funding for environmental and climate change issues through the LIHEAP Weatherization and Transit Support programs. The Department of Education has made historic investments in education and school COVID response. The Jobs and Workforce sectors are being allocated funding for Unemployment Program supports. Investments are being made in Economic Development through Business recovery programs. The Transportation sectors are being supported with transit and transportation recovery funds. There are also efforts underway for Equity and Justice reform through Health Disparity funding. Lastly, The State of Maine is also partnering with the Maine State Housing Authority to administer the Emergency Rental Assistance Program which is also authorized by the American Rescue Plan.

Promoting Equitable Outcomes

Departments and agencies allocated funding through the Legislative process are developing business cases outlining the planned use of funds and metrics/KPIs. Those business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed. Included in this process will be the consideration and measure of intended outcomes to promote equity.

Community Engagement

Departments and agencies allocated funding through the Legislative process are developing business cases outlining the planned use of funds and metrics/KPIs. Those business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed. This process, when appropriate, will continue to incorporate written, oral, and other forms of input that capture diverse feedback from constituents, community-based organizations, and the communities themselves

Labor Practices

Departments and agencies allocated funding through the Legislative process are developing business cases outlining the planned use of funds and metrics/KPIs. Those business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed. This process, when applicable, will consider how projects are using strong labor standards to promote effective and efficient delivery of high-quality infrastructure projects while also supporting the economic recovery through strong employment opportunities for workers.

Use of Evidence

Departments and agencies allocated funding through the Legislative process are developing business cases outlining the planned use of funds and metrics/KPIs. Those business cases will be reviewed by a Steering Committee, as well as outside experts to determine alignment with US Treasury guidance, before they are finally approved and deployed. This process will include making determinations whether the SLFRF funds are being used for evidence-based interventions and/or if projects are being evaluated through rigorous program evaluations that are designed to build evidence.

Table of Expenses by Expenditure Category

	Category	Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
1	Expenditure Category: Public Health		
1.1	COVID-19 Vaccination	\$0	\$0
1.2	COVID-19 Testing	\$0	\$0
1.3	COVID-19 Contact Tracing	\$0	\$0
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	\$0	\$0
1.5	Personal Protective Equipment	\$0	\$0
1.6	Medical Expenses (including Alternative Care Facilities)	\$0	\$0
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency	\$0	\$0
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)	\$0	\$0
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19	\$0	\$0
1.10	Mental Health Services	\$0	\$0
1.11	Substance Use Services	\$0	\$0
1.12	Other Public Health Services	\$0	\$0
2	Expenditure Category: Negative Economic Impacts		
2.1	Household Assistance: Food Programs	\$0	\$0

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	\$0	\$0
2.3	Household Assistance: Cash Transfers	\$0	\$0
2.4	Household Assistance: Internet Access Programs	\$0	\$0
2.5	Household Assistance: Eviction Prevention	\$0	\$0
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	\$0	\$0
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	\$0	\$0
2.8	Contributions to UI Trust Funds*	\$0	\$0
2.9	Small Business Economic Assistance (General)	\$0	\$0
2.10	Aid to nonprofit organizations	\$0	\$0
2.11	Aid to Tourism, Travel, or Hospitality	\$0	\$0
2.12	Aid to Other Impacted Industries	\$0	\$0
2.13	Other Economic Support	\$0	\$0
2.14	Rehiring Public Sector Staff	\$0	\$0
3	Expenditure Category: Services to Disproportionately Impacted Communities		
3.1	Education Assistance: Early Learning	\$0	\$0
3.2	Education Assistance: Aid to High-Poverty Districts	\$0	\$0
3.3	Education Assistance: Academic Services	\$0	\$0
3.4	Education Assistance: Social, Emotional, and Mental Health Services	\$0	\$0
3.5	Education Assistance: Other	\$0	\$0
3.6	Healthy Childhood Environments: Child Care	\$0	\$0
3.7	Healthy Childhood Environments: Home Visiting	\$0	\$0
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	\$0	\$0
3.9.	Healthy Childhood Environments: Other	\$0	\$0
3.10	Housing Support: Affordable Housing	\$0	\$0
3.11	Housing Support: Services for Unhoused persons	\$0	\$0
3.12	Housing Support: Other Housing Assistance	\$0	\$0
3.13	Social Determinants of Health: Other	\$0	\$0
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	\$0	\$0
3.15	Social Determinants of Health: Lead Remediation	\$0	\$0

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
3.16	Social Determinants of Health: Community Violence Interventions	\$0	\$0
4	Expenditure Category: Premium Pay		
4.1	Public Sector Employees	\$0	\$0
4.2	Private Sector: Grants to other employers	\$0	\$0
5	Expenditure Category: Infrastructure		
5.1	Clean Water: Centralized wastewater treatment	\$0	\$0
5.2	Clean Water: Centralized wastewater collection and conveyance	\$0	\$0
5.3	Clean Water: Decentralized wastewater	\$0	\$0
5.4	Clean Water: Combined sewer overflows	\$0	\$0
5.5	Clean Water: Other sewer infrastructure	\$0	\$0
5.6	Clean Water: Stormwater	\$0	\$0
5.7	Clean Water: Energy conservation	\$0	\$0
5.8	Clean Water: Water conservation	\$0	\$0
5.9	Clean Water: Nonpoint source	\$0	\$0
5.10	Drinking water: Treatment	\$0	\$0
5.11	Drinking water: Transmission & distribution	\$0	\$0
5.12	Drinking water: Transmission & distribution: lead remediation	\$0	\$0
5.13	Drinking water: Source	\$0	\$0
5.14	Drinking water: Storage	\$0	\$0
5.15	Drinking water: Other water infrastructure	\$0	\$0
5.16	Broadband: "Last Mile" projects	\$0	\$0
5.17	Broadband: Other projects	\$0	\$0
6	Expenditure Category: Revenue Replacement		
6.1	Provision of Government Services	\$0	\$0
7	Administrative and Other		
7.1	Administrative Expenses	\$0	\$0
7.2	Evaluation and data analysis	\$0	\$0
7.3	Transfers to Other Units of Government	\$0	\$0
7.4	Transfers to Non-Entitlement Units (States and Territories only)	\$0	\$0

Project Inventory

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obligated to the administration of payments to the Non-Entitlement Units within the State of Maine.

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Performance Report

The State of Maine does not have SLFRF projects underway; correspondingly, there are no key performance indicators or mandatory performance indicators to report.

Ineligible Activities: Tax Offset Provision

The State of Maine does not have Revenue-reducing Covered Changes for this reporting period.

Item	Amount
a. Revenue-reducing Covered Changes	\$0